PROCLAMATION

[GRE A SEAL]
CANADA
PROVINCE OF ALBERTA
Catherine A. Fraser, Administrator.

ELIZABETH THE SECOND, by the Grace of God, of the United Kingdom,
Canada, and Her Other Realms and Territories, QUEEN, Head of the
Commonwealth, Defender of the Faith

PROCLAMATION

To all to Whom these Presents shall come

GREETING

Philip Bryden Deputy Attorney General

WHEREAS section 9 of An Act to Reduce School Fees provides that that Act comes
into force on Proclamation; and

WHEREAS it is expedient to proclaim An Act to Reduce School Fees in force:

NOW KNOW YE THAT by and with the advice and consent of Our Executive
Council of Our Province of Alberta, by virtue of the provisions of the said Act
hereinbefore referred to and of all other power and authority whatsoever in Us vested
in that behalf, We have ordered and declared and do hereby proclaim An Act to
Reduce School Fees in force on the date of issue of this Proclamation.

IN TESTIMONY WHEREOF We have caused these Our Letters to be made Patent
and the Great Seal of Our Province of Alberta to be hereunto affixed.

WITNESS: THE HONOURABLE Catherine A Fraser, Administrator of Our
Province of Alberta, this 5th day of June in the Year of Our Lord Two Thousand
Seventeen and in the Sixty-sixth Year of Our Reign.

BY COMMAND

Irfan Sabir, Provincial Secretary.
APPOINTMENTS

Appointment of Non-Presiding Justices of the Peace

(Justice of the Peace Act)

March 1, 2017
Kucharski, Andrea Michelle Nichole of St. Paul

March 10, 2017
Blackbeard, Kelly Brooke of Calgary
Roy, Marie-Sylvie Natasha of Edmonton
Smith, Cheryl Louise of Calgary
Williams, Janis Margaret of Calgary

May 11, 2017
Leyton, Patricia Lizett of Red Deer

June 7, 2017
Defreitas-Clark, Allyson Ora of Calgary
Surovy, Candace Eletha of Medicine Hat

CHANGES OF NAME

Change of Name of Non-Presiding Justices of the Peace

(Justice of the Peace Act)

May 25, 2017
Hallett, Jillian Lee of Hinton to Bancroft, Jillian Lee

TERMINATIONS

Termination of Non-Presiding Justice of the Peace

(Justice of the Peace Act)

May 25, 2017
Dawson, Katherine Louise of Medicine Hat
Goldrup, Lisa Marie of Edmonton
Miciak, Gilchrist Warren Andrew of Edmonton
Peters, Tiffany Amber of Calgary
Segboer, Edwina of Wetaskiwin
Warren, Monique Jean of Calgary
GOVERNMENT NOTICES

Agriculture and Forestry

Form 15

(Irrigation Districts Act)
(Section 88)

Notice to Irrigation Secretariat:
Change of Area of an Irrigation District

On behalf of the Bow River Irrigation District, I hereby request that the Irrigation Secretariat forward a certified copy of this notice to the Registrar of Land Titles for the purposes of registration under section 22 of the Land Titles Act and arrange for notice to be published in the Alberta Gazette.

The following parcels of land should be added to the irrigation district and the appropriate notation added to the certificate of title:

<table>
<thead>
<tr>
<th>LINC Number</th>
<th>Short Legal Description as shown on title</th>
<th>Title Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>0022 394 472</td>
<td>Portion N.W. 16-14-17-W4M</td>
<td>171 014 924</td>
</tr>
<tr>
<td>0012 316 360</td>
<td>N.W. 33-13-17-W4M</td>
<td>931 092 410+1</td>
</tr>
<tr>
<td>0013 646 914</td>
<td>N.E. 33-13-17-W4M</td>
<td>901 120 065</td>
</tr>
</tbody>
</table>

I certify the procedures required under part 4 of the Irrigation Districts Act have been completed and the area of the Bow Irrigation District should be changed according to the above list.

Rebecca Fast, Office Administrator, Irrigation Secretariat.

On behalf of the Western Irrigation District, I hereby request that the Irrigation Secretariat forward a certified copy of this notice to the Registrar of Land Titles for the purposes of registration under section 22 of the Land Titles Act and arrange for notice to be published in the Alberta Gazette.

The following parcels of land should be added to the irrigation district and the appropriate notation added to the certificate of title:

<table>
<thead>
<tr>
<th>LINC Number</th>
<th>Short Legal Description as shown on title</th>
<th>Title Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>0016 941 874</td>
<td>4; 21; 23; 32; SW</td>
<td>111 319 007 002</td>
</tr>
</tbody>
</table>
I certify the procedures required under part 4 of the *Irrigation Districts Act* have been completed and the area of the **Western Irrigation District** should be changed according to the above list.

Rebecca Fast, *Office Administrator*,
*Irrigation Secretariat*.

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**Education**

**Ministerial Order No. 34/2017**

*(School Act)*

I, David Eggen, Minister of Education, pursuant to Sections 232(1)(e), 247(3), and 262 of the *School Act*, make the Order in the attached Appendix, being *The Reversal of the Regionalization of Medicine Hat Catholic Separate Regional Division No. 20 and Electoral Ward Order*.


David Eggen, *Minister*.

**APPENDIX**

*The Reversal of the Regionalization of*  
The Medicine Hat Catholic Separate Regional Division No. 20  
*and Electoral Ward Order*

WHEREAS under Ministerial Order No. 086/94, The Medicine Hat Catholic Separate Regional Division No. 20 (the “Regional Division”) was established consisting of The Medicine Hat Roman Catholic Separate School District No. 21 and The Bow Island Roman Catholic Separate School District No. 82.

AND WHEREAS the electors of The Bow Island Ward of the Regional Division voted in favour of withdrawing from the Regional Division and entering into negotiations with another regional division in accordance with Section 231 of the *School Act* as a result of a plebiscite held during the general election of October 21, 2013.

AND WHEREAS upon the execution of an agreement between the ward representatives of The Bow Island Ward and The Board of Trustees of The Holy Spirit Roman Catholic Regional Division No. 4, Ministerial Order No. 012/2014 took all the land in The Bow Island Ward out of the Regional Division and added said land to The Holy Spirit Roman Catholic Regional Division No. 4.

AND WHEREAS upon the removal of The Bow Island Ward from the Regional Division, the Regional Division was left with one ward, The Medicine Hat Roman
Catholic Separate School District Ward, which made up The Medicine Hat Roman Catholic Separate School District No. 21 prior to regionalization.

AND WHEREAS Section 232(1)(e) of the School Act authorizes the Minister to deal with any other matter respecting or resulting from the addition of a ward to a different regional division.

1 Pursuant to Section 232(1)(e) of the School Act, Ministerial Order No. 086/94 being The Medicine Hat Catholic Separate Regional Division No. 20 Establishment Order, dated August 9, 1994, is hereby repealed by this Order and the Regional Division is dissolved.

2 Pursuant to Section 232(1)(e) of the School Act, the board and the geographic territory of the Regional Division is re-established as the board and geographic territory of The Medicine Hat Roman Catholic Separate School District No. 21 (the “School District”).

3 All assets and liabilities of the board of the Regional Division are hereby transferred to the board of the School District.

4 The board of the School District shall retain the corporate name of The Medicine Hat Catholic Board of Education, as was approved for the Regional Division in Ministerial Order 170/94.

5 Pursuant to Section 247(3) of the School Act, and at the request of the School District in Bylaw No. 2017/01, the number of trustees to be elected to the board shall be increased from four (4) to five (5).

6 Bylaw No. 2017/01, passed February 14, 2017, being a bylaw to provide for the nomination and election of trustees, is hereby approved.

7 The nomination and election of trustees shall be by wards as follows:

   (a) Five (5) trustees shall be elected at large from Ward 1.

8 The boundaries of the ward referred to in Section 7 are described as follows:

   (a) Ward 1 (The Medicine Hat Roman Catholic Separate School District No. 21 Ward) shall be comprised of the following lands:

      Township 11, Range 4, West of the 4th Meridian
      Sections 29 to 32 inclusive.

      Township 11, Range 5, West of the 4th Meridian
      Sections 25 to 36 inclusive.

      Township 11, Range 6, West of the 4th Meridian
      Sections 25 and 26; Sections 31 to 36 inclusive; East half of Section 27; Northwest quarter of Section 30.
Township 12, Range 4, West of the 4th Meridian
Sections 5 to 8 inclusive; Sections 17 to 21 inclusive; Sections 28 to 33 inclusive.

Township 12, Range 5, West of the 4th Meridian
Sections 1 to 36 inclusive.

Township 12, Range 6, West of the 4th Meridian
Sections 1 to 36 inclusive.

Township 13, Range 4, West of the 4th Meridian
Sections 5 to 8 inclusive; Sections 17 to 20 inclusive; Sections 30 and 31.

Township 13, Range 5, West of the 4th Meridian
Sections 1 to 36 inclusive.

Township 13, Range 6, West of the 4th Meridian
Sections 1 to 30 inclusive; Sections 33 to 36 inclusive.

Township 14, Range 5, West of the 4th Meridian
Sections 3 to 9 inclusive; Sections 15 to 18 inclusive; Those portions of Sections 2, 10, 13 and 14 lying North and West of The South Saskatchewan River.

Township 14, Range 6, West of the 4th Meridian
Sections 1 to 4 inclusive; Sections 9 to 16 inclusive.

9 Sections 1 through 4 of this Order shall come into effect on September 1, 2017.

10 Sections 5 through 8 of this Order shall be in effect for the general election to be held in October 2017.

Environment and Parks

Hosting Expenses Exceeding $600.00
For the period October 1, 2016 to March 31, 2017

Function: Oil Sands Science Symposium
Date: November 21-23, 2016
Purpose: A two day Oil Sands Science Symposium, hosted by Alberta Environment and Parks and Environment and Climate Change Canada, is to engage the science community around monitoring, evaluation and key findings of environmental monitoring in the oil sands region.
Amount: $166,307.56
Location: Calgary
Function: Alberta Bear Smart Program  
Date: March 27-30, 2017  
Purpose: Promote local Bear Smart initiatives through networking and knowledge sharing from across the province.  
Amount: $9,317.08  
Location: Edson

Function: Canadian Aquatic Biomonitoring Network (CABIN)  
Date: February 28 – March 1, 2017  
Purpose: 4th Biennial CABIN science forum 2017. This forum provides an opportunity for current users and all interested in biological monitoring and assessment to learn about the different applications of the CABIN approach. This forum also provides an opportunity for users to interact and collaborate with other members of the network.  
Amount: $2,157.50  
Location: Edmonton

Infrastructure

Sale or Disposition of Land

(Government Organization Act)

Name of Purchaser: Chevra Kadisha of Calgary  
Consideration: Land Exchange on the following Lands:  
Plan 1611445, Area “A”. Containing 2.010 Hectares (4.97 Acres) More or Less. Excepting thereout all Mines and Minerals and the right to work the same  
Land Description: Plan 1710922, Block 1, Lot 2. Excepting thereout all Mines and Minerals. Area: 2.01 Hectares (4.97 Acres) More or Less

Justice and Solicitor General

Office of the Public Guardian and Trustee  
Interest Rate on Public Trustee Guaranteed Accounts

(Public Trustee Act)

In accordance with section 3(4) of the Public Trustee Investment Regulation, notice is hereby given that from July 1, 2017 the nominal interest rate on all guaranteed accounts is 2.25%, which corresponds to an annual effective rate of 2.27%.  

Barb Martini, Public Trustee  
Office of the Public Guardian and Trustee.
### Office of the Public Trustee

#### Money transferred to the General Revenue Fund by the Public Trustee

**(Public Trustee Act)**  
**Section 11 (4)**

<table>
<thead>
<tr>
<th>Name of person entitled to money (if known)</th>
<th>Amount transferred to General Revenue Fund</th>
<th>If property was part of deceased person’s estate:</th>
<th>If property was held under Court order:</th>
<th>Additional Information (if any)</th>
<th>Transfer date:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Schmidt Christopher</td>
<td>$28,395.26</td>
<td>Unknown</td>
<td></td>
<td></td>
<td>Jun.06/2017</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Descendants of Christopher Schmidt</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>E096667</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

#### Office of the Public Trustee

**Property being held by the Public Trustee for a period of Ten (10) Years**

**(Public Trustee Act)**  
**Section 11 (2)(b)**

<table>
<thead>
<tr>
<th>Name of Person Entitled to Property</th>
<th>Description of Property held and its value or estimated value</th>
<th>Property part of deceased person’s Estate or held under Court Order:</th>
<th>Public Trustee Office</th>
<th>Additional Information</th>
</tr>
</thead>
<tbody>
<tr>
<td>Henry Harvey Reed</td>
<td>$14,759.63 as of Jun.12/2017</td>
<td>Deceased’s Name JD of Calgary</td>
<td>021175</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Judicial District Court File Number</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Municipal Affairs

Hosting Expenses Exceeding $600.00
For the period October 1, 2016 to December 31, 2016

Function: Municipal Government Board (MGB) - Member Workshop
Purpose: MGB workshop held with Assessment Review Board members
Amount: $15,032.29
Date of Function: September 14 – 15, 2016
Location: Edmonton

Function: Alberta Urban Municipalities Association 2016 Convention
Purpose: Minister meeting with Municipal representatives
Amount: $16,085.22
Date of Function: October 5, 2016
Location: Edmonton

Function: Alberta Urban Municipalities Association 2016 Convention
Purpose: Meeting to answer grant related questions from municipal representatives
Amount: $902.84
Date of Function: October 5 – 7, 2016
Location: Edmonton

Function: Alberta Association of Municipal District and Counties 2016 Convention
Purpose: Open house hosted by the Minister during the convention
Amount: $12,614.56
Date of Function: November 15, 2016
Location: Edmonton

Function: Municipal Internship Program – Executive Week
Purpose: Provide Municipal interns with a better understanding of Municipal Affairs and help develop their professional network during their internship
Amount: $4,312.67
Date of Function: October 25 – 28, 2016
Location: Edmonton

Function: Alberta Urban Municipalities Association 2016 Stakeholder Meetings
Purpose: To discuss municipal concerns regarding budget impact to grant funding
Amount: $865.76
Date of Function: October 5 – 6, 2016
Location: Edmonton

Purpose: Consultation sessions held across Alberta for the Municipal Government Act proposed amendments
Amount: $1,036.40
Date of Function: July 15, 2016
Location: Lethbridge
Function: Review of Municipal Government Act  
Purpose: Meeting with stakeholders to discuss regulation amendments  
Amount: $830.00  
Date of Function: October 13, 2016  
Location: Edmonton

Function: Technical Assessment Discussion Session  
Purpose: Discussions regarding the alignment of assessment taxation to the Municipal Government Act  
Amount: $989.44  
Date of Function: June 7; June 30; July 19; September 1, 2016  
Location: Calgary, Edmonton, Red Deer

Function: Technical Assessment Discussion Session  
Purpose: Discussion regarding the splitting of non-residential class of property  
Amount: $1,799.74  
Date of Function: September 9; September 28, 2016  
Location: Red Deer

Function: Alberta Association of Municipal District and Counties Stakeholder Meeting  
Purpose: Grant funding discussion with municipalities  
Amount: $873.35  
Date of Function: November 15 – 16, 2016  
Location: Edmonton

Function: First Nations Community Emergency Management Program  
Purpose: Training session held for First Nations  
Amount: $12,318.00  
Date of Function: November 7 – 8, 2016  
Location: Edmonton

Function: Alberta Emergency Management Agency workshop – North Central Region  
Purpose: Training session held for Summer Villages in the North Central Region  
Amount: $1,197.73  
Date of Function: October 15, 2016  
Location: Spruce Grove

Function: First Nations Emergency Training  
Purpose: Alberta Emergency Alert training session  
Amount: $8,669.70  
Date of Function: September 20 – 21, 2016  
Location: Edmonton
Function: Library Board Basics & Emergency Plan Workshop for Public Libraries
Purpose: Training for public library board trustees on emergency planning, basic board roles and responsibilities
Amount: $1,308.74
Date of Function: June 18, 2016; September 10 & 24, 2016; October 1 & 15, 2016
Location: Didsbury, Medicine Hat, Westlock, Camrose, Two Hills

Function: Regional Library System Directors and Chairs Meeting
Purpose: Discussion on regional and rural libraries sustainability
Amount: $2,012.89
Date of Function: October 27, 2016
Location: Edmonton

Function: Indigenous Library Funding Working Group
Purpose: Collaboration on ideas to support Indigenous people through libraries
Amount: $3,356.74
Date of Function: November 24, 2016
Location: Edmonton

Function: Wood Buffalo Recognition Event
Purpose: Appreciation for wildfire responders and Heroes of the Wildfire program
Amount: $1,493.61
Date of Function: October 31, 2016
Location: Edmonton

Safety Codes Council
Corporate Accreditation
(Safety Codes Act)

Pursuant to Section 28 of the Safety Codes Act it is hereby ordered that

Agrium Inc., Accreditation No. C000142, Order No. 0443

Having satisfied the terms and conditions of the Safety Codes Council is authorized to administer the Safety Codes Act including applicable Alberta amendments and regulations within the Corporation’s industrial facilities for the discipline of Electrical

Consisting of all parts of the Canadian Electrical Code Part 1, and Code for Electrical Installations at Oil and Gas Facilities and Alberta Electrical Utility Code.

Accredited Date: December 10, 1995
Issued Date: June 9, 2017.
Pursuant to Section 28 of the Safety Codes Act it is hereby ordered that

**Enerplus Corporation**, Accreditation No. C000149, Order No. 426

Having satisfied the terms and conditions of the Safety Codes Council is authorized to administer the Safety Codes Act including applicable Alberta amendments and regulations within the Corporation’s industrial facilities for the discipline of **Electrical**

Consisting of all parts of the Canadian Electrical Code Part 1, and Code for Electrical Installations at Oil and Gas Facilities.

Accredited Date: December 9, 1995  
Issued Date: June 7, 2017.

Pursuant to Section 28 of the Safety Codes Act it is hereby ordered that

**Tidewater Mainstream Infrastructure Ltd.**, Accreditation No. C000883, Order No. 2954

Having satisfied the terms and conditions of the Safety Codes Council is authorized to administer the Safety Codes Act including applicable Alberta amendments and regulations within the Corporation’s industrial facilities for the discipline of **Electrical**

Consisting of all parts of the Canadian Electrical Code Part 1, and Code for Electrical Installations at Oil and Gas Facilities.

Accredited Date: September 9, 2015  
Issued Date: June 7, 2017.

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**Municipal Accreditation**

(Safety Codes Act)

Pursuant to Section 26 of the Safety Codes Act it is hereby ordered that

**Kneehill County**, Accreditation No. M000183, Order No. 1342

Having satisfied the terms and conditions of the Safety Codes Council is authorized to administer the Safety Codes Act including applicable Alberta amendments and regulations within the Municipality’s boundaries for the discipline of **Building**


Accredited Date: July 16, 2001  
Issued Date: June 7, 2017.
Pursuant to Section 26 of the Safety Codes Act it is hereby ordered that

**Town of Fort MacLeod**, Accreditation No. M000356, Order No. 1116

Having satisfied the terms and conditions of the Safety Codes Council is authorized to administer the Safety Codes Act including applicable Alberta amendments and regulations within the Municipality’s boundaries for the discipline of **Building**

Consisting of all parts of the Alberta Building Code, and National Energy Code for Buildings.

Accredited Date: December 21, 1995                    Issued Date: June 6, 2017.

Pursuant to Section 26 of the Safety Codes Act it is hereby ordered that

**Kneehill County**, Accreditation No. M000183, Order No. 1341

Having satisfied the terms and conditions of the Safety Codes Council is authorized to administer the Safety Codes Act including applicable Alberta amendments and regulations within the Municipality’s boundaries for the discipline of **Electrical**

Consisting of all parts of the Canadian Electrical Code Part 1, and Code for Electrical Installations at Oil and Gas Facilities.

Accredited Date: July 16, 2001                    Issued Date: June 7, 2017.

Pursuant to Section 26 of the Safety Codes Act it is hereby ordered that

**Town of Fort MacLeod**, Accreditation No. M000356, Order No. 2601

Having satisfied the terms and conditions of the Safety Codes Council is authorized to administer the Safety Codes Act including applicable Alberta amendments and regulations within the Municipality’s boundaries for the discipline of **Electrical**

Consisting of all parts of the Canadian Electrical Code Part 1, and Code for Electrical Installations at Oil and Gas Facilities and Alberta Electrical Utility Code.

Accredited Date: September 6, 2007                    Issued Date: June 6, 2017.

Pursuant to Section 26 of the Safety Codes Act it is hereby ordered that

**Town of Fort MacLeod**, Accreditation No. M000356, Order No. 0630

Having satisfied the terms and conditions of the Safety Codes Council is authorized to administer the Safety Codes Act including applicable Alberta amendments and regulations within the Municipality’s boundaries for the discipline of **Fire**

Consisting of all parts of the Alberta Fire Code, and Fire Investigation (cause and circumstance).
Excluding those requirements pertaining to the installation, alteration and removal of
the storage tank systems for flammable and combustible liquids.

Accredited Date: December 22, 1995  Issued Date: June 6, 2017.

Pursuant to Section 26 of the Safety Codes Act it is hereby ordered that

**Kneehill County**, Accreditation No. M000183, Order No. 1340

Having satisfied the terms and conditions of the Safety Codes Council is authorized to administer the Safety Codes Act including applicable Alberta amendments and regulations within the Municipality’s boundaries for the discipline of **Gas**

Consisting of all parts of the Natural Gas and Propane Installations Code and Propane Storage and Handling Code, and Compressed Natural Gas Fuelling Stations Installation Code.


Accredited Date: July 16, 2001  Issued Date: June 7, 2017.

Pursuant to Section 26 of the Safety Codes Act it is hereby ordered that

**Town of Fort MacLeod**, Accreditation No. M000356, Order No. 2599

Having satisfied the terms and conditions of the Safety Codes Council is authorized to administer the Safety Codes Act including applicable Alberta amendments and regulations within the Municipality’s boundaries for the discipline of **Gas**

Consisting of all parts of the Natural Gas and Propane Installations Code and Propane Storage and Handling Code, and Compressed Natural Gas Fuelling Stations Installation Code,


Accredited Date: September 6, 2007  Issued Date: June 6, 2017.

Pursuant to Section 26 of the Safety Codes Act it is hereby ordered that

**Kneehill County**, Accreditation No. M000183, Order No. 1339

Having satisfied the terms and conditions of the Safety Codes Council is authorized to administer the Safety Codes Act including applicable Alberta amendments and regulations within the Municipality’s boundaries for the discipline of **Plumbing**
Consisting of all parts of the National Plumbing Code of Canada, and Private Sewage Disposal System Standard of Practice.

Accredited Date: July 16, 2001  Issued Date: June 7, 2017.

Pursuant to Section 26 of the Safety Codes Act it is hereby ordered that

Town of Fort MacLeod, Accreditation No. M000356, Order No. 2600

Having satisfied the terms and conditions of the Safety Codes Council is authorized to administer the Safety Codes Act including applicable Alberta amendments and regulations within the Municipality’s boundaries for the discipline of Plumbing

Consisting of all parts of the National Plumbing Code of Canada, and Private Sewage Disposal System Standard of Practice.

Accredited Date: September 6, 2007  Issued Date: June 6, 2017.

Alberta Securities Commission

NATIONAL INSTRUMENT 94-102
DERIVATIVES: CUSTOMER CLEARING AND PROTECTION OF CUSTOMER COLLATERAL AND POSITIONS

(Securities Act)

Made as a rule by the Alberta Securities Commission on January 11, 2017 pursuant to sections 223 and 224 of the Securities Act.

NATIONAL INSTRUMENT 94-102
DERIVATIVES: CUSTOMER CLEARING AND PROTECTION OF CUSTOMER COLLATERAL AND POSITIONS

PART 1
DEFINITIONS, INTERPRETATION AND APPLICATION

Definitions and interpretation

1. (1) In this Instrument

“Canadian financial institution” has the meaning ascribed to it in National Instrument 45-106 Prospectus Exemptions;

“cleared derivative” means a derivative that is, directly or indirectly, submitted to and cleared by a clearing agency;

“clearing intermediary” means a direct intermediary or an indirect intermediary;

- 599 -
“customer” means a counterparty to a cleared derivative other than a clearing intermediary or a regulated clearing agency;

“customer collateral” means all cash, securities and other property if any of the following apply:

(a) the cash, securities or other property is received or held by a clearing intermediary or regulated clearing agency from, for or on behalf of a customer, and is intended to or does margin, guarantee, secure, settle or adjust a cleared derivative of the customer;

(b) the cash, securities or other property is posted on behalf of a customer by a clearing intermediary to satisfy the margin requirements arising from the customer’s cleared derivatives;

“direct intermediary” means a person or company that

(a) with respect to a cleared derivative, is a participant of the regulated clearing agency at which the cleared derivative is cleared,
(b) directly provides clearing services for a customer in respect of a cleared derivative entered into by, for or on behalf of the customer, and
(c) requires, receives or holds collateral from, for or on behalf of the customer in providing clearing services;

“excess margin” means customer collateral in respect of a customer’s cleared derivatives that

(a) is delivered to a regulated clearing agency or clearing intermediary from, for or on behalf of the customer, and

(b) has a value in excess of the amount required by the regulated clearing agency to clear and settle the cleared derivatives of the customer;

“indirect intermediary” means a person or company that

(a) indirectly provides clearing services for a customer in respect of a cleared derivative entered into by, for or on behalf of the customer, and

(b) requires, receives or holds collateral from, for or on behalf of the customer in providing clearing services;

“initial margin” means, in relation to a regulated clearing agency’s margin system that manages credit exposures to its participants, collateral that is required by the regulated clearing agency to cover potential changes in the value of a customer’s cleared derivatives over an appropriate close-out period in the event of a default;
“local customer” means a customer that, in respect of a local jurisdiction, is any of the following:

(a) an individual who is resident in the local jurisdiction;

(b) a person or company, other than an individual, to which any of the following apply:

(i) the person or company is organized under the laws of the local jurisdiction;

(ii) the head office of the person or company is in the local jurisdiction;

(iii) the principal place of business of the person or company is in the local jurisdiction;

“participant” means a person or company that has entered into an agreement with a regulated clearing agency to access the services of the regulated clearing agency and is bound by the regulated clearing agency’s rules and procedures;

“permitted depository” means a person or company that is any of the following:

(a) a Canadian financial institution or Schedule III bank;

(b) a regulated clearing agency;

(c) the central bank of Canada or of a permitted jurisdiction;

(d) in Québec, a person recognized or exempt from recognition as a central securities depository under the Securities Act (Québec);

(e) a person or company

(i) whose head office or principal place of business is in a permitted jurisdiction,

(ii) that is a banking institution or trust company of a permitted jurisdiction, and

(iii) that has shareholders’ equity, as reported in its most recent audited financial statements, of not less than the equivalent of $100 000 000;

(f) with respect to customer collateral that it receives from a customer or a clearing intermediary for which it provides clearing services, a registered investment dealer as defined in National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations;
(g) with respect to customer collateral that it receives from a customer or a clearing intermediary for which it provides clearing services, a prudentially regulated entity

(i) whose head office or principal place of business is located outside of Canada, and

(ii) that is subject to and in compliance with the laws of a permitted jurisdiction relating to clearing services and the requiring, receiving and holding of customer collateral;

“permitted investment” means cash or a security or other financial instrument with minimal market and credit risk that is capable of being liquidated rapidly with minimal adverse price effect;

“permitted jurisdiction” means a foreign jurisdiction that is any of the following:

(a) a country where the head office or principal place of business of a Schedule III bank is located, and a political subdivision of that country;

(b) if a customer has provided express written consent to the clearing intermediary or the regulated clearing agency clearing a cleared derivative in a foreign currency, the country of origin of the foreign currency used to denominate the rights and obligations under the cleared derivative entered into by, for or on behalf of the customer, and a political subdivision of that country;

“position” means the economic interest of a counterparty in an outstanding cleared derivative at a point in time;

“prudentially regulated entity” means a person or company that is subject to and in compliance with the laws of a foreign jurisdiction that is a permitted jurisdiction under paragraph (a) of the definition of “permitted jurisdiction”, relating to minimum capital requirements, financial soundness and risk management;

“qualifying central counterparty” means a person or company to which all of the following apply:

(a) it is recognized, exempt from recognition or otherwise registered or authorized to operate as a central counterparty in a jurisdiction of Canada or a foreign jurisdiction by a government or regulatory authority;

(b) it is subject to regulation that is consistent with the Principles for financial market infrastructures published by the Bank for International Settlements’ Committee on Payments and Market Infrastructures and the International Organization of Securities Commissions in April 2012, as amended from time to time;
“regulated clearing agency” means

(a) in British Columbia, Manitoba and Ontario, a person or company recognized or exempt from recognition as a clearing agency in the local jurisdiction, and

(b) in Alberta, Newfoundland and Labrador, New Brunswick, the Northwest Territories, Nova Scotia, Nunavut, Prince Edward Island, Québec, Saskatchewan and Yukon, a person or company recognized or exempt from recognition as a clearing agency or clearing house pursuant to the securities legislation of any jurisdiction of Canada;

“Schedule III bank” means an authorized foreign bank named in Schedule III of the Bank Act (Canada);

“segregate” means to separately hold or separately account for a customer’s positions or customer collateral.

(2) In this Instrument, a person or company is an affiliated entity of another person or company if one of them controls the other or each of them is controlled by the same person or company.

(3) In this Instrument, a person or company (the first party) is considered to control another person or company (the second party) if any of the following apply:

(a) the first party beneficially owns or directly or indirectly exercises control or direction over securities of the second party carrying votes which, if exercised, would entitle the first party to elect a majority of the directors of the second party, unless the first party holds the voting securities only to secure an obligation;

(b) the second party is a partnership, other than a limited partnership, and the first party holds more than 50% of the interests of the partnership;

(c) the second party is a limited partnership and the general partner of the limited partnership is the first party;

(d) the second party is a trust and the trustee of the trust is the first party.

(4) In this Instrument, in Alberta, British Columbia, New Brunswick, Newfoundland and Labrador, the Northwest Territories, Nova Scotia, Nunavut, Prince Edward Island, Saskatchewan and Yukon, “derivative” means a “specified derivative” as defined in Multilateral Instrument 91-101 Derivatives: Product Determination.
Application

2. (1) This Instrument does not apply to any of the following:

(a) a regulated clearing agency whose head office or principal place of business is in a foreign jurisdiction except with respect to a cleared derivative entered into by, for or on behalf of a local customer;

(b) a clearing intermediary that provides clearing services except with respect to a cleared derivative entered into by, for or on behalf of a local customer.

(2) This Instrument applies to

(a) in Manitoba,

(i) a derivative other than a contract or instrument that, for any purpose, is prescribed by any of sections 2, 4 and 5 of Manitoba Securities Commission Rule 91-506 Derivatives: Product Determination not to be a derivative, and

(ii) a derivative that is otherwise a security and that, for any purpose, is prescribed by section 3 of Manitoba Securities Commission Rule 91-506 Derivatives: Product Determination not to be a security,

(b) in Ontario,

(i) a derivative other than a contract or instrument that, for any purpose, is prescribed by any of sections 2, 4 and 5 of Ontario Securities Commission Rule 91-506 Derivatives: Product Determination not to be a derivative, and

(ii) a derivative that is otherwise a security and that, for any purpose, is prescribed by section 3 of Ontario Securities Commission Rule 91-506 Derivatives: Product Determination not to be a security,

(c) in Québec, a derivative specified in section 1.2 of Regulation 91-506 respecting derivatives determination, other than a contract or instrument specified in section 2 of that regulation.

(3) Despite subsection (2), this Instrument does not apply to an option on a security.

(4) In British Columbia, Newfoundland and Labrador, the Northwest Territories, Nunavut, Prince Edward Island and Yukon, subsection (3) does not apply to a security that is a derivative as defined in subsection 1(4).
PART 2
TREATMENT OF CUSTOMER COLLATERAL
BY A CLEARING INTERMEDIARY

Segregation of customer collateral – clearing intermediary

3. (1) A clearing intermediary must segregate a customer’s positions and customer collateral from the positions and property of other persons or companies including the positions and property of the clearing intermediary.

(2) A clearing intermediary must segregate the positions and customer collateral of a customer of an indirect intermediary from the positions and property of the indirect intermediary.

Holding of customer collateral – clearing intermediary

4. A clearing intermediary must hold all customer collateral

(a) in one or more accounts at a permitted depository that are clearly identified as holding customer collateral, and

(b) in separate accounts from the property of all persons who are not customers.

Excess margin – clearing intermediary

5. A clearing intermediary must at least once each business day identify and record the value of excess margin it holds that is attributable to each customer for which the clearing intermediary provides clearing services.

Use of customer collateral – clearing intermediary

6. (1) A clearing intermediary must not use or permit the use of customer collateral except in accordance with this section and sections 7 and 8.

(2) A clearing intermediary must not use or permit the use of customer collateral of a customer except to do any of the following:

(a) margin, guarantee, secure, settle or adjust a cleared derivative of the customer;

(b) with respect to excess margin, guarantee, secure or extend the credit of the customer.

(3) Other than with respect to excess margin used in accordance with paragraph (2)(b), a clearing intermediary must not create or permit to exist any lien or other encumbrance on a cleared derivative of a customer or customer collateral in respect of the cleared derivative unless the lien or other encumbrance secures an obligation resulting from the cleared derivative in favour of any of the following:
(a) the customer;
(b) the regulated clearing agency or clearing intermediary responsible for clearing the cleared derivative.

**Investment of customer collateral – clearing intermediary**

7. (1) A clearing intermediary must not invest customer collateral or enter into an agreement for resale or repurchase of customer collateral except in accordance with subsections (2) and (3).

(2) A clearing intermediary may
(a) invest customer collateral in a permitted investment, and
(b) enter into an agreement for resale or repurchase of customer collateral if all of the following apply:
   (i) the agreement is for the resale or repurchase of a permitted investment;
   (ii) the agreement is in writing;
   (iii) the term of the agreement is no more than one business day, or reversal of the transaction is possible on demand;
   (iv) written confirmation specifying the terms of the agreement is delivered by the counterparty to the agreement to the clearing intermediary immediately on entering into the agreement;
   (v) the agreement is not entered into with an affiliated entity of the clearing intermediary.

(3) A loss resulting from an investment or use of a customer’s customer collateral in accordance with subsection (1) or subsection (2) by the clearing intermediary must be borne by the clearing intermediary making the investment and not by the customer.

**Use of customer collateral – indirect intermediary default**

8. (1) A clearing intermediary must not use customer collateral of a customer of an indirect intermediary for which the clearing intermediary provides clearing services to satisfy an obligation of the indirect intermediary.

(2) Despite subsection (1), a clearing intermediary may use the customer collateral of a customer to fully or partially satisfy an obligation of an indirect intermediary that arises or is accelerated as a consequence of the indirect intermediary’s default only if the obligation is attributable to a cleared derivative of the customer.
Acting as a clearing intermediary

9. (1) A person or company must not act as a clearing intermediary for a customer unless the person or company is any of the following:

(a) a person or company that is subject to and is in compliance with the laws of a jurisdiction of Canada relating to minimum capital requirements, financial soundness and risk management;

(b) a person or company that is registered as a dealer under securities legislation in a local jurisdiction;

(c) a person or company that is
   (i) a prudentially regulated entity, and
   (ii) subject to and in compliance with the laws of a permitted jurisdiction relating to clearing services and the requiring, receiving and holding of customer collateral.

(2) A clearing intermediary must not provide clearing services for a customer unless the clearing services are provided in respect of derivatives that are cleared by a regulated clearing agency.

Risk management – clearing intermediary

10. A clearing intermediary that provides or proposes to provide clearing services for an indirect intermediary must adopt and implement rules, policies or procedures reasonably designed to

(a) identify, monitor and reasonably mitigate material risks arising from the provision of clearing services, and

(b) manage a default of the indirect intermediary.

Risk management – indirect intermediary

11.(1) An indirect intermediary must establish and implement rules, policies or procedures reasonably designed to identify, monitor and reasonably mitigate the material risks to the clearing intermediary or its customers arising from the provision of indirect clearing services for a customer.

(2) An indirect intermediary that receives clearing services from a clearing intermediary must provide the clearing intermediary with all information reasonably required to identify, monitor and reasonably mitigate any material risks arising from the provision of indirect clearing services for customers.
PART 3
RECORDKEEPING BY A CLEARING INTERMEDIARY

Retention of records – clearing intermediary

12.(1) A clearing intermediary must keep a record required under this Part and Part 4, and all supporting documentation,

(a) in a readily accessible and safe location and in a durable form,

(b) in the case of a record or supporting documentation that relates to a cleared derivative, for a period of 7 years following the date on which the cleared derivative expires or is terminated, and

(c) in any other case, for a period of 7 years following the date on which a customer’s last cleared derivative that is cleared for or on behalf of the customer through the clearing intermediary expires or is terminated.

(2) Despite subsection (1), in Manitoba, with respect to a customer or clearing intermediary located in Manitoba, the time period applicable to records and supporting documentation kept pursuant to subsection (1) is 8 years.

Daily records – clearing intermediary

13.(1) A clearing intermediary that receives customer collateral must calculate and record all of the following at least once each business day in its records:

(a) for each customer, the amount of customer collateral it requires from, for or on behalf of the customer;

(b) the total amount of customer collateral it requires from, for or on behalf of all customers.

(2) For each indirect intermediary that a clearing intermediary provides clearing services for, the clearing intermediary must calculate and record all of the following at least once each business day in its records:

(a) the amount of customer collateral it requires from, for or on behalf of each customer of each indirect intermediary;

(b) the total amount of customer collateral it requires from, for or on behalf of all customers of each indirect intermediary.

(3) For each customer, a clearing intermediary must record all of the following in its records:

(a) each permitted depository at which it holds customer collateral of the customer;

(b) calculated at least once each business day, the current value of any customer collateral received from, for or on behalf of the customer,
including all of the following:

(i) any accruals on the customer collateral creditable to the customer;
(ii) any gains or losses in respect of the customer collateral;
(iii) any charges accruing to the customer;
(iv) any distributions or transfers of the customer collateral.

Daily records – direct intermediary

14. For each customer, a direct intermediary must record all of the following at least once each business day in its records:

(a) the total amount of customer collateral required for the cleared derivatives of the customer by each regulated clearing agency;
(b) the total amount of the customer’s excess margin held by the direct intermediary.

Daily records – indirect intermediary

15. For each customer, an indirect intermediary must record all of the following at least once each business day in its records:

(a) the total amount of collateral required for the cleared derivatives of the customer by each clearing intermediary through which the indirect intermediary clears;
(b) the sum of the amounts for the customer referred to in paragraph (a);
(c) the total amount of the customer’s excess margin held by the indirect intermediary.

Identifying records – direct intermediary

16. A direct intermediary must keep records that, at any time, enable it to identify all of the following in its own accounts and in the accounts held with each regulated clearing agency through which it provides clearing services:

(a) the positions and property of the direct intermediary;
(b) the positions and value of customer collateral held for or on behalf of each of the direct intermediary’s customers.
Identifying records – indirect intermediary

17. An indirect intermediary must keep records that, at any time, enable it to identify all of the following in its own accounts and in the accounts held with each clearing intermediary through which it provides clearing services:

(a) the positions and property of the indirect intermediary;
(b) the positions and value of customer collateral held for or on behalf of each of the indirect intermediary’s customers.

Identifying records – multiple clearing intermediaries

18. A clearing intermediary that provides clearing services in respect of a cleared derivative for an indirect intermediary must keep records that, at any time, enable it and each of its indirect intermediaries to identify all of the following in the accounts held with the clearing intermediary:

(a) the positions and property of the indirect intermediary;
(b) the positions and value of customer collateral held for or on behalf of each of the indirect intermediary’s customers.

Records of investment of customer collateral – clearing intermediary

19. A clearing intermediary that invests customer collateral must keep records of all of the following with respect to each investment of customer collateral:

(a) the date of the investment;
(b) the name of each person or company through which the investment was made;
(c) a daily market valuation of the investment, including any unrealized gain or loss on the investment and related supporting documentation;
(d) a description of each asset or instrument in which the investment was made;
(e) the identity of each permitted depository where each asset or instrument in which the investment was made is deposited;
(f) the date on which the investment was liquidated or otherwise disposed of and the realized gain or loss;
(g) the name of each person or company liquidating or disposing of the investment.
Records of currency conversion – clearing intermediary

20. A clearing intermediary must keep a record of each conversion of customer collateral from one currency to another.

PART 4
REPORTING AND DISCLOSURE BY A CLEARING INTERMEDIARY

Clearing intermediary delivery of disclosure by regulated clearing agency

21.(1) Before receiving the first cleared derivative from, for or on behalf of a customer, a clearing intermediary must provide the customer, or an indirect intermediary for which it provides clearing services, with all of the following:

(a) the written disclosure provided under subsection 41(1) by each regulated clearing agency the direct intermediary uses to clear a cleared derivative for the customer or indirect intermediary;

(b) the investment guidelines and policy provided under subsection 45(1) by each regulated clearing agency that invests customer collateral attributable to the customer.

(2) After accepting the first cleared derivative from, for or on behalf of a customer, each time that the clearing intermediary receives written disclosure in accordance with subsection 41(2) or subsection 45(2) from a regulated clearing agency that invests customer collateral attributable to the customer, the clearing intermediary must provide the written disclosure to the customer, or indirect clearing intermediary for which it provides clearing services, within a reasonable period of time.

Disclosure to customer by clearing intermediary

22.(1) Before receiving the first cleared derivative from, for or on behalf of a customer, a clearing intermediary must provide written disclosure to the customer describing the treatment of customer collateral not held at a regulated clearing agency, including the impact of relevant bankruptcy and insolvency laws, in the event of a default by the clearing intermediary.

(2) After accepting the first cleared derivative from, for or on behalf of a customer, each time there is a change to the written disclosure referred to in subsection (1), the clearing intermediary must provide written disclosure to the customer, within a reasonable period of time, describing the change.

Disclosure to customer by indirect intermediary

23.(1) Before receiving the first cleared derivative from, for or on behalf of a customer, an indirect intermediary must provide written disclosure to the customer including a description of all of the following:

(a) the material risks associated with receiving clearing services through an indirect intermediary;
(b) the rules, policies or procedures for transferring positions and customer collateral to another clearing intermediary or liquidating positions and customer collateral, in the event of the indirect intermediary’s default.

(2) After accepting the first cleared derivative from, for or on behalf of a customer, each time there is a change to the rules, policies or procedures referred to in paragraph (1)(b), the indirect intermediary must provide written disclosure to the customer, within a reasonable period of time, describing the change.

Customer information – clearing intermediary

24.(1) A direct intermediary must provide all of the following to a regulated clearing agency:

(a) before submitting to the regulated clearing agency the first cleared derivative for or on behalf of a customer of the direct intermediary, or of an indirect intermediary for which the direct intermediary provides clearing services, information sufficient to identify the customer and the customer’s positions and customer collateral;

(b) at least once each business day after providing the information referred to in paragraph (a), information that identifies the customer’s positions and the current value of the customer’s customer collateral.

(2) An indirect intermediary must provide all of the following to a clearing intermediary through which it provides clearing services:

(a) before submitting to the clearing intermediary the first cleared derivative for or on behalf of a customer, information sufficient to identify the customer and the customer’s positions and customer collateral;

(b) at least once each business day after providing the information referred to in paragraph (a), information that identifies the customer’s positions and the current value of the customer’s customer collateral.

Customer collateral report – regulatory

25.(1) A direct intermediary that receives customer collateral must electronically deliver to the regulator or securities regulatory authority, within 10 business days of the end of each calendar month, a completed Form 94-102F1 Customer Collateral Report: Direct Intermediary.

(2) An indirect intermediary that receives customer collateral must electronically deliver to the regulator or securities regulatory authority, within 10 business days of the end of each calendar month, a completed Form 94-102F2 Customer Collateral Report: Indirect Intermediary.
Customer collateral report – customer

26.(1) A clearing intermediary must make available to each customer from, for or on behalf of whom it receives customer collateral, a report, calculated and available on a daily basis, setting out all of the following:

(a) the current value of each position of the customer;

(b) the current value of customer collateral received from, for or on behalf of the customer that is held by the clearing intermediary or at a permitted depository;

(c) the current value of the customer collateral received from, for or on behalf of the customer that is posted with any of the following:

(i) a regulated clearing agency;

(ii) another clearing intermediary.

(2) A clearing intermediary must make available to each indirect intermediary from which it receives customer collateral a report, calculated and available on a daily basis, setting out all of the following:

(a) the current value of each position of each customer of the indirect intermediary;

(b) the current value of customer collateral received from the indirect intermediary for or on behalf of each customer of the indirect intermediary that is held by the clearing intermediary or at a permitted depository;

(c) the current value of the customer collateral received from the indirect intermediary for or on behalf of each customer of the indirect intermediary that is posted with any of the following:

(i) a regulated clearing agency;

(ii) another clearing intermediary.

Disclosure of investment of customer collateral

27.(1) Before receiving the first cleared derivative from, for or on behalf of a customer, a clearing intermediary that invests customer collateral must disclose in writing its investment guidelines and policy directly to the customer, or, if applicable, to the indirect intermediary that is providing clearing services to the customer.

(2) A clearing intermediary that invests customer collateral must within a reasonable period of time disclose in writing any change to the investment guidelines and policy referred to in subsection (1) directly to the customer or, if applicable, to the indirect intermediary that is providing clearing services to the customer.
PART 5
TREATMENT OF CUSTOMER COLLATERAL
BY A REGULATED CLEARING AGENCY

Collection of initial margin
28. A regulated clearing agency must collect initial margin for each customer on a gross basis.

Segregation of customer collateral – regulated clearing agency
29. A regulated clearing agency must segregate a customer’s positions and customer collateral from the positions and property of other persons or companies including the positions and property of the regulated clearing agency.

Holding of customer collateral – regulated clearing agency
30. A regulated clearing agency must hold all customer collateral
(a) in one or more accounts at a permitted depository that are clearly identified as holding customer collateral, and
(b) in separate accounts from all other property that is not customer collateral.

Excess margin – regulated clearing agency
31. A regulated clearing agency must at least once each business day identify and record the value of excess margin it holds for or on behalf of the customers of each clearing intermediary.

Use of customer collateral – regulated clearing agency
32.(1) A regulated clearing agency must not use or permit the use of customer collateral except in accordance with this section and sections 33 and 34.
(2) A regulated clearing agency must not use or permit the use of customer collateral of a customer except to do any of the following:
(a) margin, guarantee, secure, settle or adjust a cleared derivative of the customer;
(b) with respect to excess margin, guarantee, secure or extend the credit of the customer.
(3) Other than with respect to excess margin used in accordance with paragraph (2)(b), a regulated clearing agency must not create or permit to exist any lien or other encumbrance on a cleared derivative of a customer or customer collateral in respect of the cleared derivative unless the lien or other encumbrance secures an obligation resulting from the cleared derivative in favour of any of the following:
(a) the customer;
(b) the regulated clearing agency or a clearing intermediary responsible for clearing the cleared derivative.

Investment of customer collateral – regulated clearing agency

33.(1) A regulated clearing agency must not invest customer collateral or enter into an agreement for resale or repurchase of customer collateral except in accordance with subsections (2) and (3).

(2) A regulated clearing agency may

(a) invest customer collateral in a permitted investment, and
(b) enter into an agreement for resale or repurchase of customer collateral if all of the following apply:

(i) the agreement is for resale or repurchase of a permitted investment;
(ii) the agreement is in writing;
(iii) the term of the agreement is no more than one business day, or reversal of the transaction is possible on demand;
(iv) written confirmation specifying the terms of the agreement is delivered by the counterparty to the agreement to the regulated clearing agency immediately on entering into the agreement;
(v) the agreement is not entered into with an affiliated entity of the regulated clearing agency.

(3) A loss resulting from an investment or use of a customer’s customer collateral in accordance with subsection (1) or subsection (2) by the regulated clearing agency must be borne by the regulated clearing agency making the investment or by a clearing intermediary that is a participant of the regulated clearing agency and not by any customer.

Use of customer collateral – clearing intermediary default

34.(1) A regulated clearing agency must not use customer collateral to satisfy an obligation of a clearing intermediary to which the regulated clearing agency provides clearing services.

(2) Despite subsection (1), a regulated clearing agency may use the customer collateral of a customer to fully or partially satisfy an obligation of a clearing intermediary that arises or is accelerated as a consequence of the clearing intermediary’s default only if the obligation is attributable to a cleared derivative of the customer.
Risk management – NI 24-102 applies

35. Part 3 of National Instrument 24-102 *Clearing Agency Requirements* applies to a regulated clearing agency and, for that purpose, a reference in that instrument to a “recognized clearing agency” is to be read as a reference to a “regulated clearing agency”.

PART 6
RECORDKEEPING BY A REGULATED CLEARING AGENCY

Retention of records – regulated clearing agency

36. A regulated clearing agency must keep a record required under this Part and Part 7, and all supporting documentation, in a readily accessible and safe location and in a durable form, until the date on which the cleared derivative that the record or supporting documentation relates to expires or is terminated.

Daily records – regulated clearing agency

37.(1) A regulated clearing agency that receives customer collateral must calculate and record all of the following at least once each business day in its records:

(a) for each customer, the amount of customer collateral it requires from, for or on behalf of the customer;

(b) the total amount of customer collateral it requires from, for or on behalf of all customers.

(2) A regulated clearing agency must record all of the following in its records:

(a) each permitted depository at which it holds customer collateral;

(b) calculated at least once each business day, the current value of the customer collateral received from, for or on behalf of the customers of each direct intermediary including all of the following:

(i) any accruals on the customer collateral creditable to the direct intermediary’s customers;

(ii) any gains or losses in respect of the customer collateral;

(iii) any charges accruing to the direct intermediary’s customers;

(iv) any distributions or transfers of the customer collateral.
Identifying records – regulated clearing agency

38. A regulated clearing agency must keep records that, at any time, enable it and each of its direct intermediaries to identify all of the following in the accounts held at the regulated clearing agency:

(a) the positions and property held for the direct intermediary;
(b) the positions and value of customer collateral held for or on behalf of the direct intermediary’s customers;
(c) the positions and value of customer collateral held for or on behalf of customers of each indirect intermediary for which the direct intermediary provides clearing services.

Records of investment of customer collateral – regulated clearing agency

39. A regulated clearing agency that invests customer collateral must keep records of all of the following with respect to each investment of customer collateral:

(a) the date of the investment;
(b) the name of each person or company through which the investment was made;
(c) a daily market valuation of the investment, including any unrealized gain or loss on the investment and related supporting documentation;
(d) a description of each asset or instrument in which the investment was made;
(e) the identity of each permitted depository where each asset or instrument in which the investment is made is deposited;
(f) the date on which the investment was liquidated or otherwise disposed of and the realized gain or loss;
(g) the name of each person or company liquidating or disposing of the investment.

Records of currency conversion – regulated clearing agency

40. A regulated clearing agency must keep a record of each conversion of customer collateral from one currency to another.
Disclosure to direct intermediaries by regulated clearing agency

41.(1) Before receiving the first cleared derivative from, for or on behalf of a customer, a regulated clearing agency must provide written disclosure to the direct intermediary through which the derivative is cleared including a description of all of the following:

(a) the rules, policies or procedures of the regulated clearing agency that govern the segregation and use of customer collateral and the transfer or liquidation of a cleared derivative of a customer in the event of a direct intermediary’s default;

(b) the impact of laws, including bankruptcy and insolvency laws, on the customer, its positions and customer collateral in the event of a direct intermediary’s default;

(c) the circumstances under which an interest or ownership rights in customer collateral may be enforced by the regulated clearing agency, the direct intermediary or the customer.

(2) After accepting the first cleared derivative from, for or on behalf of a customer, each time there is a change to the rules, policies or procedures referred to in paragraph (1)(a), the regulated clearing agency must provide written disclosure to the direct intermediary through which the derivative is cleared, within a reasonable period of time, describing the change.

Customer information – regulated clearing agency

42. A regulated clearing agency must have rules, policies or procedures reasonably designed to confirm that the information it receives from a direct intermediary in accordance with subsection 24(1) is complete and received in a timely manner.

Customer collateral report – regulatory

43. A regulated clearing agency that receives customer collateral must electronically deliver to the regulator or securities regulatory authority, within 10 business days of the end of each calendar month, a completed Form 94-102F3 Customer Collateral Report: Regulated Clearing Agency.

Customer collateral report – direct intermediary

44. A regulated clearing agency must make available to each direct intermediary from which it receives customer collateral a report, calculated and available on a daily basis, setting out all of the following:

(a) the current value of each position of each customer of the direct intermediary;
(b) the current value of customer collateral received from the direct intermediary for or on behalf of each customer of the direct intermediary that is held by the regulated clearing agency;

(c) the total current value of customer collateral received from the direct intermediary that is held at a permitted depository;

(d) the location of each permitted depository at which the customer collateral is held.

**Disclosure of investment of customer collateral**

45.(1) Before receiving the first cleared derivative from, for or on behalf of a customer, a regulated clearing agency that invests customer collateral must disclose in writing its investment guidelines and policy to the direct intermediary through which the derivative is cleared.

(2) A regulated clearing agency that invests customer collateral must within a reasonable period of time disclose in writing any change to the investment guidelines and policy referred to in subsection (1) to the direct intermediary through which the derivative is cleared.

**PART 8**

**TRANSFER OF POSITIONS**

**Transfer of customer collateral and positions**

46.(1) On default of a direct intermediary, a regulated clearing agency and the defaulting direct intermediary must do all of the following:

(a) facilitate a transfer of the defaulting direct intermediary’s customers’ positions and customer collateral, or their liquidation proceeds, from the defaulting direct intermediary to one or more non-defaulting direct intermediaries;

(b) make reasonable efforts to ensure the transfer is facilitated in accordance with the customer’s instructions.

(2) At the request of a customer, a regulated clearing agency and a non-defaulting direct intermediary must facilitate a transfer of the customer’s positions and customer collateral from the non-defaulting direct intermediary to one or more non-defaulting direct intermediaries if all of the following apply:

(a) the customer has consented to the transfer;

(b) the customer’s account is not currently in default;

(c) the transferred positions will have appropriate margin at the receiving direct intermediary;

(d) any remaining positions will have appropriate margin at the
transferring direct intermediary;

(e) the receiving direct intermediary has consented to the transfer.

Transfer from a clearing intermediary

47. A clearing intermediary that provides clearing services for an indirect intermediary must have rules, policies or procedures in respect of the portability and transfer of a customer’s positions and customer collateral that include a reasonable mechanism for transferring the positions and customer collateral of the indirect intermediary’s customers, in the event of a default by the indirect intermediary or at the request of the indirect intermediary’s customer, to one or more non-defaulting clearing intermediaries.

PART 9
SUBSTITUTED COMPLIANCE

Substituted compliance

48. (1) A clearing intermediary whose head office or principal place of business is in a foreign jurisdiction is exempt from this Instrument in respect of a cleared derivative entered into by, for or on behalf of a local customer if all of the following apply:

(a) the cleared derivative is cleared for or on behalf of a local customer

   (i) in a local jurisdiction other than British Columbia, Manitoba and Ontario by a qualifying central counterparty or a regulated clearing agency, and

   (ii) in British Columbia, Manitoba and Ontario, by a regulated clearing agency;

(b) the clearing intermediary is all of the following:

   (i) registered, licensed or otherwise authorized to perform the services of a clearing intermediary in a foreign jurisdiction listed in Appendix A;

   (ii) in compliance with the laws of the foreign jurisdiction applicable to the clearing intermediary set out in Appendix A opposite the name of the foreign jurisdiction relating to clearing services and the requiring, receiving and holding of customer collateral.

(2) Despite subsection (1), a clearing intermediary relying on the exemption from the Instrument set out in subsection (1) that provides clearing services in respect of a cleared derivative entered into by, for or on behalf of a local customer must comply with the provisions of this Instrument set out in Appendix A opposite the name of the foreign jurisdiction referred to in paragraph (1)(b).
(3) A regulated clearing agency whose head office or principal place of business is in a foreign jurisdiction is exempt from this Instrument in respect of a cleared derivative entered into by, for or on behalf of a local customer if the regulated clearing agency complies with all of the following:

(a) the terms and conditions of any recognition or exemption decision made by any securities regulatory authority in respect of the regulated clearing agency;

(b) the laws of a foreign jurisdiction applicable to the regulated clearing agency set out in Appendix A opposite the name of the foreign jurisdiction relating to clearing services and the requiring, receiving and holding of customer collateral.

(4) Despite subsection (3), a regulated clearing agency relying on the exemption from the Instrument set out in subsection (3) that provides clearing services in respect of a cleared derivative entered into by, for or on behalf of a local customer must comply with the provisions of this Instrument set out in Appendix A opposite the name of the foreign jurisdiction referred to in paragraph (3)(b).

PART 10
EXEMPTIONS

Exemption – general

49.(1) The regulator or the securities regulatory authority may grant an exemption from this Instrument, in whole or in part, subject to such conditions or restrictions as may be imposed in the exemption.

(2) Despite subsection (1), in Ontario, only the regulator may grant an exemption.

(3) Except in Alberta and Ontario, an exemption referred to in subsection (1) is granted under the statute referred to in Appendix B of National Instrument 14-101 Definitions opposite the name of the local jurisdiction.

PART 11
EFFECTIVE DATE

Effective date

50. This Instrument comes into force on July 3, 2017.
APPENDIX A
TO
NATIONAL INSTRUMENT 94-102 DERIVATIVES: CUSTOMER CLEARING AND PROTECTION OF CUSTOMER POSITIONS AND COLLATERAL

Substituted Compliance
(Section 48)

PART A
LAWS, REGULATIONS OR INSTRUMENTS OF FOREIGN JURISDICTIONS APPLICABLE TO CLEARING INTERMEDIARIES FOR SUBSTITUTED COMPLIANCE

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<th>Laws, Regulations or Instruments</th>
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<td></td>
<td>Subsection 6(2)</td>
<td>Section 12</td>
</tr>
<tr>
<td></td>
<td>Subsection 6(3)</td>
<td>Section 25</td>
</tr>
<tr>
<td></td>
<td>Section 26</td>
<td>Section 26</td>
</tr>
<tr>
<td>Foreign Jurisdiction</td>
<td>Laws, Regulations or Instruments</td>
<td>Provisions of this Instrument applicable to a regulated clearing agency despite compliance with the foreign jurisdiction’s laws, regulations or instrument</td>
</tr>
<tr>
<td>----------------------</td>
<td>-------------------------------------------------------------------------------------------------</td>
<td>----------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td></td>
<td>Commodity Futures Trading Commission, <em>Cleared Swaps</em>, 17 CFR pt 22.</td>
<td>Section 26</td>
</tr>
</tbody>
</table>

**PART B**

LAWS, REGULATIONS OR INSTRUMENTS OF FOREIGN JURISDICTIONS APPLICABLE TO REGULATED CLEARING AGENCIES FOR SUBSTITUTED COMPLIANCE

<table>
<thead>
<tr>
<th>Foreign Jurisdiction</th>
<th>Laws, Regulations or Instruments</th>
<th>Provisions of this Instrument applicable to a regulated clearing agency despite compliance with the foreign jurisdiction’s laws, regulations or instrument</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Commission Delegated Regulation (EU) 149/2013 of 19 December 2012 supplementing Regulation (EU) No 648/2012 of the European Parliament and of the Council with regard to regulatory technical standards on indirect clearing arrangements, the clearing obligation, the public register, access to a trading venue, non-financial counterparties, and risk mitigation techniques for OTC derivatives contracts not cleared by a CCP.</td>
<td>Section 36 Section 43 Section 44</td>
</tr>
</tbody>
</table>


|--------------------------|--------------------------------------------------------------------------------------------------|

Section 36  
Section 43  
Section 44
FORM 94-102F1
CUSTOMER COLLATERAL REPORT: DIRECT INTERMEDIARY

This Form 94-102F1 is to be completed by each direct intermediary in order to comply with its reporting obligations to the local securities regulator under subsection 25(1) of National Instrument 94-102 Derivatives: Customer Clearing and Protection of Customer Collateral and Positions (the “Instrument”).

Type of Filing:  □ INITIAL  □ AMENDMENT\(^1\)

<table>
<thead>
<tr>
<th>Reporting Date(^2)</th>
<th>DD/MM/YY</th>
</tr>
</thead>
<tbody>
<tr>
<td>Reporting Period(^3)</td>
<td>MM/YY</td>
</tr>
</tbody>
</table>

Reporting direct intermediary
[LEI]\(^4\)

Table A
Table A is to be completed by each direct intermediary that receives customer collateral from a customer in accordance with the Instrument. For calculations in Table A, include all customers that have posted customer collateral with the reporting direct intermediary.

<table>
<thead>
<tr>
<th>A.</th>
<th>Total value of non-cash customer collateral posted with the direct intermediary as of the last business day of the Reporting Period</th>
<th>Total value of customer collateral posted with the direct intermediary as of the last business day of the Reporting Period</th>
<th>Number of customers represented by the reported total value of customer collateral posted with the direct intermediary(^5)</th>
</tr>
</thead>
</table>

\(^1\) Please mark the form as “amendment” if the form is being resubmitted to correct or replace a form previously filed for a Reporting Period. Otherwise, please make the form as “initial”.

\(^2\) The Reporting Date must be within 10 business days of the end of the Reporting Period.

\(^3\) The Reporting Period is the calendar month for which the form is submitted.

\(^4\) Where an LEI is not available, please provide the complete legal name of the reporting direct intermediary together with the complete address of its head office.

\(^5\) Please report the number of customers whose customer collateral was included in calculating the value reported in the second column of Table A.
Table B
Table B is to be completed by each direct intermediary that receives customer collateral from an indirect intermediary in accordance with the Instrument. Complete a separate line for each indirect intermediary that has posted customer collateral with the reporting direct intermediary. Where an LEI is not available, please provide the complete legal name of the indirect intermediary.

<table>
<thead>
<tr>
<th>B.</th>
<th>Indirect intermediary</th>
<th>Customer collateral</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Total value of non-cash customer collateral posted with the direct intermediary as of the last business day of the Reporting Period</td>
</tr>
<tr>
<td>1.</td>
<td>[LEI of any indirect intermediary that has posted customer collateral with the reporting direct intermediary]</td>
<td></td>
</tr>
</tbody>
</table>

Table C
Table C is to be completed by each direct intermediary that receives customer collateral from a customer or from an indirect intermediary in accordance with the Instrument. Complete a separate line for each location at which customer collateral is held by or for the reporting direct intermediary. Where an LEI is not available, please provide the complete legal and operating name(s) of the permitted depository.

<table>
<thead>
<tr>
<th>C.</th>
<th>Permitted depository</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>[LEI of reporting direct intermediary, if holding customer collateral itself]</td>
</tr>
<tr>
<td>2.</td>
<td>[LEI of any permitted depository holding customer collateral for the reporting direct intermediary]</td>
</tr>
</tbody>
</table>
**Table D**

Table D is to be completed by each direct intermediary that has posted customer collateral with a regulated clearing agency in accordance with the Instrument. Complete a separate line for each regulated clearing agency with which the reporting direct intermediary has posted customer collateral. Where an LEI is not available, please provide the complete legal and operating name(s) of the regulated clearing agency.

<table>
<thead>
<tr>
<th>D.</th>
<th>Regulated clearing agency</th>
<th>Customer collateral</th>
<th>Total value of customer collateral posted with the regulated clearing agency as of the last business day of the Reporting Period</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>[LEI of any regulated clearing agency with which the reporting direct intermediary has posted customer collateral]</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Total value of non-cash customer collateral posted with the regulated clearing agency as of the last business day of the Reporting Period

Total value of customer collateral posted with the regulated clearing agency as of the last business day of the Reporting Period
FORM 94-102F2
CUSTOMER COLLATERAL REPORT: INDIRECT INTERMEDIARY

This Form 94-102F2 is to be completed by each person or company that acts as an indirect intermediary in order to comply with its reporting obligations to the local securities regulator under subsection 25(2) of National Instrument 94-102 Derivatives: Customer Clearing and Protection of Customer Collateral and Positions (the “Instrument”).

Type of Filing: □ INITIAL  □ AMENDMENT

<table>
<thead>
<tr>
<th>Reporting Date</th>
<th>DD/MM/YY</th>
<th>Reporting Period</th>
<th>MM/YY</th>
</tr>
</thead>
</table>

Reporting indirect intermediary
[LEI]

Table A
Table A is to be completed by each indirect intermediary that receives customer collateral from a customer in accordance with the Instrument. For calculations in Table A include all customers that have posted customer collateral with the reporting indirect intermediary.

<table>
<thead>
<tr>
<th></th>
<th>Total value of non-cash customer collateral posted with the indirect intermediary as of the last business day of the Reporting Period</th>
<th>Total value of customer collateral posted with the indirect intermediary as of the last business day of the Reporting Period</th>
<th>Number of customers represented by the reported total value of customer collateral posted with the indirect intermediary</th>
</tr>
</thead>
</table>

1 Please mark the form as “amendment” if the form is being resubmitted to correct or replace a form previously filed for a Reporting Period. Otherwise, please make the form as “initial”.
2 The Reporting Date must be within 10 business days of the end of the Reporting Period.
3 The Reporting Period is the calendar month for which the form is submitted.
4 Where an LEI is not available, please provide the complete legal name of the reporting indirect intermediary together with the complete address of its head office.
5 Please report the number of customers whose customer collateral was included in calculating the value reported in the second column of Table A.
Table B
Table B is to be completed by each indirect intermediary that receives customer collateral from a customer in accordance with the Instrument. Complete a separate line for each location at which customer collateral is held by or for the reporting indirect intermediary. Where an LEI is not available, please provide the complete legal and operating name(s) of the permitted depository.

<table>
<thead>
<tr>
<th>B.</th>
<th>Permitted depository</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Reporting indirect intermediary, if holding customer collateral itself</td>
</tr>
<tr>
<td>2.</td>
<td>Any permitted depository holding customer collateral for the reporting direct intermediary</td>
</tr>
</tbody>
</table>

Table C
Table C is to be completed by each indirect intermediary that has posted customer collateral with a direct intermediary in accordance with the Instrument. Complete a separate line for each direct intermediary with which the reporting indirect intermediary has posted customer collateral. Where an LEI is not available, please provide the complete legal and operating name(s) of the direct intermediary.

<table>
<thead>
<tr>
<th>C.</th>
<th>Direct intermediary</th>
<th>Customer collateral</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Total value of non-cash customer collateral posted with the direct intermediary as of the last business day of the Reporting Period</td>
</tr>
<tr>
<td>1.</td>
<td>[LEI of any direct intermediary with which the reporting indirect intermediary has posted customer collateral]</td>
<td></td>
</tr>
</tbody>
</table>
FORM 94-102F3
CUSTOMER COLLATERAL REPORT: REGULATED CLEARING AGENCY

This Form 94-102F3 is to be completed by each regulated clearing agency in order to comply with its reporting obligations to the local securities regulator under section 43 of National Instrument 94-102 Derivatives: Customer Clearing and Protection of Customer Collateral and Positions (the “Instrument”).

Type of Filing: □ INITIAL    □ AMENDMENT

<table>
<thead>
<tr>
<th>Reporting Date</th>
<th>DD/MM/YY</th>
</tr>
</thead>
<tbody>
<tr>
<td>Reporting Period</td>
<td>MM/YY</td>
</tr>
</tbody>
</table>

| Reporting regulated clearing agency | [LEI]

Table A
Table A is to be completed by each regulated clearing agency that receives customer collateral from a direct intermediary in accordance with the Instrument. Complete a separate line for each direct intermediary that has posted customer collateral with the reporting regulated clearing agency. Where an LEI is not available, please provide the complete legal name of the direct intermediary.

<table>
<thead>
<tr>
<th>A.</th>
<th>Direct intermediary</th>
<th>Customer collateral</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>[LEI of any direct intermediary that has posted customer collateral with the reporting regulated clearing agency]</td>
<td>Total value of non-cash customer collateral posted with the regulated clearing agency as of the last business day of the Reporting Period</td>
</tr>
</tbody>
</table>

1 Please mark the form as “amendment” if the form is being resubmitted to correct or replace a form previously filed for a Reporting Period. Otherwise, please mark the form as “initial”.

2 The Reporting Date must be within 10 business days of the end of the Reporting Period.

3 The Reporting Period is the calendar month for which the form is submitted.

4 Where an LEI is not available, please provide the complete legal name of the reporting regulated clearing agency together with the complete address of its head office.
Table B
Table B is to be completed by each regulated clearing agency that holds customer collateral in accordance with the Instrument. Complete a separate line for each location at which customer collateral is held by or for the reporting regulated clearing agency. Where an LEI is not available, please provide the complete legal and operating name(s) of the permitted depository.

<table>
<thead>
<tr>
<th></th>
<th>Permitted depository</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>[LEI of reporting regulated clearing agency, if holding customer collateral itself]</td>
</tr>
<tr>
<td>2</td>
<td>[LEI of any permitted depository holding customer collateral for the reporting regulated clearing agency]</td>
</tr>
</tbody>
</table>

**Treasury Board and Finance**

*Insurance Notice*

*(Insurance Act)*

Effective January 31, 2017, Compania Espanola de Seguros y Reaseguros de Credito y Caucion, S.A.U. changed its name to **Atradius Credito y Caucion, S.A. de Seguros y Reaseguros**.

David Sorensen  
Deputy Superintendent of Insurance.

**ADVERTISEMENTS**

*Public Sale of Land*

*(Municipal Government Act)*

City of Leduc

Notice is hereby given that, under the provisions of the Municipal Government Act, the City of Leduc will offer for sale, by public auction, in the Lede Room B, #1 Alexandra Park, Leduc, Alberta, on Tuesday, August 15, 2017, at 10:00 a.m., the following lands:
Lot/Block Plan
UNIT 7 0840705

Each parcel will be offered for sale, subject to a reserve bid and to the reservations and conditions contained in the existing certificate of title.

The lands are being offered for sale on an “as is, where is” basis and the City of Leduc makes no representation and gives no warranty whatsoever as to the adequacy of services, soil conditions, land use districting, building and development conditions, absence or presence of environmental contamination, vacant possession, or the developability of the lands for any intended use by the successful bidder. No bid will be accepted where the bidder attempts to attach conditions to the sale of any parcel or land. No terms and conditions will be considered other than those specified by the City of Leduc. The successful bidder shall be required to execute a Sale Agreement in a form and substance acceptable to the City of Leduc. No further information is available at the auction regarding the lands to be sold.

The City of Leduc may, after the public auction, become the owner of any parcel of land not sold at the public auction.

Terms: Cash, bank draft, or certified cheque made payable to City of Leduc on the date of the public auction.

Redemption may be effected by payment of all arrears of taxes and costs at any time prior to the sale.

Dated at City of Leduc, Alberta, June 13, 2017.

Jennifer Cannon, Director, Finance.

Municipal District of Opportunity No. 17

Notice is hereby given that under the provisions of the Municipal Government Act, the Municipal District of Opportunity No. 17 will offer for sale, by public auction, in the Municipal Office, Wabasca, Alberta, on Tuesday, September 5, 2017, at 10:00 a.m., the following lands:

<table>
<thead>
<tr>
<th>C of T or Line #</th>
<th>Plan</th>
<th>Blk</th>
<th>Lot</th>
</tr>
</thead>
<tbody>
<tr>
<td>052 193 229 +7</td>
<td>8321796</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>002 097 472</td>
<td>8321796</td>
<td>13</td>
<td></td>
</tr>
<tr>
<td>972 285 972</td>
<td>472RS</td>
<td>1</td>
<td>3</td>
</tr>
<tr>
<td>112 280 002</td>
<td>7822893</td>
<td>1</td>
<td>2</td>
</tr>
</tbody>
</table>
Each parcel will be offered for sale subject to a reserve bid and to the reservations and conditions contained in the existing Certificate of Title.

The Municipal District of Opportunity No. 17 may, after the public auction, become the owner of any parcel of land not sold at the public auction.

Terms: Cash or Certified Cheque: A deposit of $100 at time of the sale (non-refundable); and balance including GST within 10 days of the public auction.

Redemption may be effected by payment of all arrears of taxes and costs at any time prior to the sale.

Dated at Wabasca, Alberta, June 12, 2017.

Helen Alook, Chief Administrative Officer.

---

Town of Blackfalds

Notice is hereby given that, under the provisions of the Municipal Government Act, The Town of Blackfalds will offer for sale, by public auction, in the Council Chambers of the Civic Center, 5018 Waghorn Street, Blackfalds, Alberta, on Thursday, August 10, 2017, at 10:00 a.m., the following lands:

<table>
<thead>
<tr>
<th>Pt. of Sec.</th>
<th>Sec</th>
<th>Twp</th>
<th>Rge</th>
<th>M</th>
<th>C. of T.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pt. NE</td>
<td>22</td>
<td>39</td>
<td>27</td>
<td>4</td>
<td>132286311</td>
</tr>
</tbody>
</table>

The parcel will be offered for sale, subject to a reserve bid and to the reservations and conditions contained in the existing certificate of title.

The land is being offered for sale on an “as is, where is” basis, and the Town of Blackfalds makes no representation and gives no warranty whatsoever as to the adequacy of services, soil conditions, land use districting, building and development conditions, absence or presence of environmental contamination, vacant possession, or the developability of the subject land for any intended use by the Purchaser.
Conditions: Sale subject to approval of sales agreement.

The Town of Blackfalds may, after the public auction, become the owner of any parcel of land not sold at the public auction.

Terms: Cash, certified cheque or bank draft; with minimum 25% deposit at the time of sale and balance within 10 days of date of public auction (August 24, 2017).

Redemption may be effected by payment of all arrears of taxes and costs at any time prior to the sale.


Betty Quinlan, Director of Corporate Services.

---

Town of Claresholm

Notice is hereby given that, under the provisions of the Municipal Government Act, The Town of Claresholm will offer for sale, by public auction, in the Town of Claresholm Administration Office, at 221 – 45 Ave West, Claresholm, Alberta, on Wednesday, August 23, 2017, at 9:00 a.m., the following lands:

<table>
<thead>
<tr>
<th>LOT</th>
<th>BLOCK</th>
<th>PLAN</th>
<th>C OF T</th>
</tr>
</thead>
<tbody>
<tr>
<td>N 50' of 20</td>
<td>1</td>
<td>147N</td>
<td>021376236</td>
</tr>
<tr>
<td>N 1/2 9-12</td>
<td>85</td>
<td>147N</td>
<td>174 S 229</td>
</tr>
<tr>
<td>6</td>
<td>3</td>
<td>8510082</td>
<td>131061282</td>
</tr>
</tbody>
</table>

Each parcel will be offered for sale, subject to a reserve bid and to the reservations and conditions contained in the existing certificate of title.

The lands are being offered for sale on an “as is, where is” basis, and the Town of Claresholm makes no representation and gives no warranty whatsoever as to the adequacy of services, soil conditions, land use districting, building and development conditions, absence or presence of environmental contamination, vacant possession, or the developability of the lands for any intended use by the successful bidder. No bid will be accepted where the bidder attempts to attach conditions precedent to the sale of any parcel of land. No terms or conditions of sale will be considered other than those specified by the Town of Claresholm. No further information is available at the auction regarding the lands to be sold.

The Town of Claresholm may, after the public auction, become the owner of any parcel of land not sold at the public auction.
Terms: Cash or Certified Cheque. Deposit: 10% of bid at the time of the sale, August 23rd, 2017. Balance: 90% of bid within 30 days of receipt by the Town of Claresholm. Goods and Services Taxes (GST) applicable per Federal statutes.

Redemption may be effected by payment of all arrears of taxes and costs at any time prior to the sale.

Dated at Claresholm, Alberta, June 2, 2017.

Marian Carlson, Chief Administrative Officer

Town of Milk River

Notice is hereby given that, under the provisions of the Municipal Government Act, the Town of Milk River will offer for sale, by closed sealed bids, with a public opening, at the Town Office, 240 Main Street, Milk River, Alberta, on Thursday, August 10, 2017, at 2:00 p.m., the following lands:

<table>
<thead>
<tr>
<th>Title</th>
<th>Linc</th>
<th>Lot</th>
<th>Block</th>
<th>Plan</th>
<th>Reserve Bid</th>
</tr>
</thead>
<tbody>
<tr>
<td>081071623</td>
<td>0020167615</td>
<td>17</td>
<td>2</td>
<td>2227Y</td>
<td>$51,580</td>
</tr>
</tbody>
</table>

The parcel will be offered for sale, subject to reserve bid and to the reservations and conditions contained in the existing certificate of title.

The land is being offered for sale on an “as is, where is” basis, and the Town of Milk River makes no representation and gives no warranty whatsoever as to the adequacy of services, soil conditions, land use districting, building and development conditions, absence or presence of environmental contamination, or the developability of the subject land for any intended use by the Purchaser. All bids must be submitted in a sealed envelope and will be opened in public. No bid will be accepted where the bidder attempts to attach conditions precedent to the sale of any parcel. No terms and conditions of sale will be considered other than those specified by the Town of Milk River. No further information is available at the auction regarding the lands to be sold.

The Town of Milk River may, after the opening of the bids (close of the public auction), become the owner of any parcel of land not sold at the public auction.

Terms: 10% down payment at bid opening (public auction date); balance within 30 days of the public auction (opening date). All payments must be made by Cash or Certified Cheque.

Redemption may be effected by payment of all arrears of taxes and costs at any time prior to the sale.

Ryan Leuzinger, Chief Administrative Officer.

______________

Village of Kitscoty

Notice is hereby given that, under the provisions of the Municipal Government Act, the Village of Kitscoty will offer for sale, by public auction, at the Village of Kitscoty Office #2 located at 5015 – 50th Street, Kitscoty, Alberta, on Tuesday, September 5, 2017, at 6:30 p.m., the following lands:

<table>
<thead>
<tr>
<th>Lot</th>
<th>Block</th>
<th>Plan</th>
<th>C of T</th>
</tr>
</thead>
<tbody>
<tr>
<td>8</td>
<td>17</td>
<td>8120655</td>
<td>142410041</td>
</tr>
</tbody>
</table>

The parcel of land will be offered for sale, subject to a reserve bid and to the reservations and conditions contained in the existing Certificate of Title.

The land is being offered for sale on an “as is, where is” basis, and the Village of Kitscoty makes no representation and gives no warranty whatsoever as to the adequacy of services, soil conditions, land use districting, building and development conditions, absence or presence of environmental contamination, or the developability of the subject land for any intended use by the Purchaser. No bid will be accepted where the bidder attempts to attach conditions precedent to the sale of any parcel. No terms and conditions of sale will be considered other than those specified by the Village of Kitscoty.

The Village of Kitscoty may, after the public auction, become the owner of any parcel of land not sold at the public auction.

All bidders or their agents must be present at the public auction.

Terms: Cash or Certified Cheque, 20% deposit on sale date, and balance due within 30 days of the date of the public auction. GST will apply to all applicable lands.

Redemption may be effected by payment of all arrears of taxes and costs at any time prior to the sale.


Sharon Williams, Chief Administrative Officer.
NOTICE TO ADVERTISERS

The Alberta Gazette is issued twice monthly, on the 15th and last day.

Notices and advertisements must be received ten full working days before the date of the issue in which the notices are to appear. Submissions received after that date will appear in the next regular issue.

Notices and advertisements should be typed or written legibly and on a sheet separate from the covering letter. An electronic submission by email or disk is preferred. Email submissions may be sent to the Editor of The Alberta Gazette at albertagazette@gov.ab.ca. The number of insertions required should be specified and the names of all signing officers typed or printed. Please include name and complete contact information of the individual submitting the notice or advertisement.

Proof of Publication: Statutory Declaration is available upon request.

A copy of the page containing the notice or advertisement will be emailed to each advertiser without charge.

The dates for publication of Tax Sale Notices in The Alberta Gazette are as follows:

<table>
<thead>
<tr>
<th>Issue of</th>
<th>Earliest date on which sale may be held</th>
</tr>
</thead>
<tbody>
<tr>
<td>July 15</td>
<td>August 25</td>
</tr>
<tr>
<td>July 31</td>
<td>September 10</td>
</tr>
<tr>
<td>August 15</td>
<td>September 25</td>
</tr>
<tr>
<td>August 31</td>
<td>October 11</td>
</tr>
<tr>
<td>September 15</td>
<td>October 26</td>
</tr>
<tr>
<td>September 30</td>
<td>November 10</td>
</tr>
<tr>
<td>October 14</td>
<td>November 24</td>
</tr>
<tr>
<td>October 31</td>
<td>December 11</td>
</tr>
<tr>
<td>November 15</td>
<td>December 26</td>
</tr>
<tr>
<td>November 30</td>
<td>January 10</td>
</tr>
<tr>
<td>December 15</td>
<td>January 25</td>
</tr>
<tr>
<td>December 30</td>
<td>February 9</td>
</tr>
</tbody>
</table>

The charges to be paid for the publication of notices, advertisements and documents in The Alberta Gazette are:

Notices, advertisements and documents that are 5 or fewer pages...............................$20.00
Notices, advertisements and documents that are more than 5 pages...............................$30.00

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Alberta Gazette Bound Part I ......................................................................................... $140.00
Alberta Gazette Bound Regulations ............................................................................ $92.00

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Individual Gazette Publications......................................................................................... $6.00 for orders $19.99 and under
Individual Gazette Publications......................................................................................... $10.00 for orders $20.00 and over

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Alberta Queen’s Printer
Suite 700, Park Plaza
10611 – 98 Avenue
Edmonton, Alberta   T5K 2P7

Phone: 780-427-4952
Fax: 780-452-0668
(Toll free in Alberta by first dialing 310-0000)
qp@gov.ab.ca
www.qp.alberta.ca

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Government of Alberta. Payment is also accepted by Visa, MasterCard or American
Express. No orders will be processed without payment.